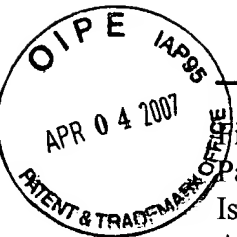


IFW

**PATENT**  
**6057-25600**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



First Named Inventor: Allan Myerson  
Patent Number: 6,759,521  
Issue Date of Patent: 07-06-2004  
Application Number: 09/965,751  
Filing or 371 (c) Date: 09-28-2001

Title of Invention: POLARIZATION  
SWITCHING TO CONTROL  
CRYSTAL FORM

Atty. Dkt. No: 6057-25600

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

B. Noël Kivlin

Printed Name

Signature

Date

**TRANSMITTAL OF POWER OF ATTORNEY AND  
NOTICE OF CHANGE OF ADDRESS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find attached a Power of Attorney with regard to the above-identified patent application. Applicant respectfully requests the Commissioner to change the correspondence address for the above identified patent application. The old correspondence address was:

Laurence P. Colton  
1201 West Peachtree Street, NW 14th Floor  
Atlanta GA 30309-3488

The new correspondence address is:

B. Noël Kivlin  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
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Austin, Texas 78767-0398  
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**Customer ID #35690**

If there are any questions regarding this matter, please contact me at the telephone number provided below.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
ATTORNEY FOR APPLICANTS

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
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Date: 4-2-07



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## Assignments on the Web &gt; Patent Query

## Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.**

## Total Assignments: 2

Patent #: [6759521](#) Issue Dt: 07/06/2004 Application #: 09965751 Filing Dt: 09/28/2001Publication #: [US20020120105](#) Pub Dt: 08/29/2002

Inventors: Allan S. Myerson, Bruce A. Garetz

Title: POLARIZATION SWITCHING TO CONTROL CRYSTAL FORM

## Assignment: 1

Reel/Frame: [018875/0539](#)

Recorded: 02/12/2007

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [POLYTECHNIC UNIVERSITY, A NON-PROFIT  
RESEARCH INSTITUTION](#)

Exec Dt: 06/06/2006

Assignee: [INTELLECTUAL VENTURES HOLDING 19, LLC](#)1117 DESERT LANE  
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Correspondent: MEYERTONS, HOOD, KIVLIN, KOWERT &amp; GOETZEL

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SUITE 800  
AUSTIN, TX 78701

## Assignment: 2

Reel/Frame: [017870/0895](#)

Recorded: 06/30/2006

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [MYERSON, ALLAN S.  
GARETZ, BRUCE A.](#)

Exec Dt: 06/15/2006

Exec Dt: 06/21/2006

Assignee: [POLYTECHNIC UNIVERSITY  
SIX METROTECH CENTER  
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Correspondent: LAURENCE P. COLTON

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ATLANTA, GA 30309

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### POWER OF ATTORNEY; STATEMENT UNDER 37 C.F.R. § 3.73

Polytechnic University ("Assignee"), a university located at Six MetroTech Center, Brooklyn, NY 11201, states that it is the assignee of the entire right, title, and interest in and to the patents and patent application identified in the Appendix ("the Patent Properties"). Assignee has attached, for each of the Patent Properties, the following:

- ☐ documentary evidence of the chain of title;
- ☒ information specifying where documentary evidence of a chain of title from the original owner to the Assignee is recorded in the assignment records of the USPTO. See Appendix.

With respect to the Patent Properties, the Assignee, pursuant to 37 C.F.R. §§1.36 and 3.71, hereby revokes all powers of attorney previously given and appoints

the practitioners associated with the **Customer Number 35690**

to act on Assignee's behalf before the United States Patent and Trademark Office. This appointment is effective only so long as these practitioners remain with the firm associated with the Customer Number listed above.

Assignee has granted an exclusive license in and to the Patent Properties to Intellectual Ventures Holding 19, LLC. This license provides that Intellectual Ventures Holding 19, LLC shall have exclusive control of prosecution. This appointment is made by Assignee for the benefit of Intellectual Ventures Holding 19, LLC, in conducting prosecution of the Patent Properties, and does not establish either a principal-agent or an attorney-client relationship between Assignee and the appointed practitioners.

Pursuant to 37 C.F.R. §3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

The undersigned is a representative authorized to act on behalf of the assignee.

Assignee

Polytechnic University  
Six MetroTech Center  
Brooklyn, NY 11201

Dated: 1/16/07

By: J. Westroff

Name: TC Westroff

Title: Vice President

*Fin & Admin*

## APPENDIX

### Issued Patents

Patent Number	Title	Issue Date	Recordation Information from Inventors to Polytechnic University  Reel / Frame
6,426,406	Method for Using Laser Light to Control Crystal Form	July 30, 2002	017073/0832
6,759,521	Polarization Switching to Control Crystal Form	July 6, 2004	017870/0895

### Pending Applications

Application Number	Title	Filing Date
11/482,205*	Polarization Switching to Control Crystal Form	July 6, 2006

\*Reissue of U.S. Patent No. 6,759,521



## **EXHIBIT B**

### **ASSIGNMENT OF PATENT RIGHTS**

For good and valuable consideration, the receipt of which is hereby acknowledged, Polytechnic University, a Non-Profit Research Institution with offices at Six MetroTech Center, Brooklyn, NY 11201, ("**Assignor**"), does hereby sell, assign, transfer, and convey unto Intellectual Ventures Holding 19, LLC, a Nevada limited liability company, having an address at 1117 Desert Lane, Suite 1433 Las Vegas, NV 89102 ("**Assignee**"), or its designees, all right, title, and interest that exist today and may exist in the future in and to all of the following(collectively, the "**Patent Rights**"):

- (a) the patent applications and patents listed in the table below (the "**Patents**");
- (b) all patents and patent applications owned by Assignor (i) to which any of the Patents directly or indirectly claims priority, (ii) for which any of the Patents directly or indirectly forms a basis for priority, (iii) that directly or indirectly incorporate by reference the Patents or are directly or indirectly incorporated by reference by the Patents, and/or (iv) that otherwise directly or indirectly cite (other than as prior art) the Patents or are cited (other than as prior art) by the Patents;
- (c) all reissues, reexaminations, extensions, continuations, continuations in part, continuing prosecution applications, requests for continuing examinations, and divisions of any item in the foregoing categories (a) and (b);
- (d) all foreign patents, patent applications, and counterparts corresponding to any item in the foregoing categories (a) through (c), including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances;
- (e) all items in any of the foregoing categories (b) through (d), whether or not expressly listed as Patents below and whether or not claims in any of the foregoing have been rejected, withdrawn, cancelled, or the like;
- (f) all inventions, invention disclosures, and discoveries described in any item in the foregoing categories (a) through (e) and all other rights arising out of such inventions, invention disclosures, and discoveries;
- (g) all rights to apply in any or all countries of the world for patents, certificates of invention, utility models, industrial design protections, design patent protections, or other governmental grants or issuances of any type related to any item in the foregoing categories (a) through (f), including, without limitation, under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement, or understanding;

(h) all causes of action (whether currently pending, filed, or otherwise) and other enforcement rights under, or on account of, any of the Patents and/or any item in the foregoing categories (b) through (g), including, without limitation, all causes of action and other enforcement rights for

- (i) damages,
- (ii) injunctive relief, and
- (iii) any other remedies of any kind

for past, current, and future infringement; and

(i) all rights to collect royalties and other payments under or on account of any of the Patents and/or any item in the foregoing categories (b) through (h).

Patent or Application Number	Country	Date Filed	Title	Inventors
6,426,406	U.S.A.	10/23/2000	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
6,759,521	U.S.A.	9/28/2001	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
09/348,200 (abandoned)	U.S.A.	7/6/1999	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
PCT/US00/00294	WO	1/6/2000	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
20002376198	Canada	1/6/2000	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
00913216.8-1213	EP	1/6/2000	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
10/056,490 (abandoned)	U.S.A.	1/24/2002	Method for Using Laser Light to Control Crystal Form	Myerson, Allan; Garetz, Bruce
60/561,583 (expired)	U.S.A.	4/13/2004	Method for Using a Static Electric Field to Induce Crystallization and to Control Crystal Form	Myerson, Allan; Garetz, Bruce; Arnold, Stephen
11/104,714	U.S.A.	4/13/2005	Method for Using a Static Electric Field to Induce Crystallization and to Control Crystal Form	Myerson, Allan; Garetz, Bruce; Arnold, Stephen
PCT/US06/13324	WO	4/11/2006	Method for Using a Static Electric Field to Induce Crystallization and to	Myerson, Allan; Garetz, Bruce;

Patent or Application Number	Country	Date Filed	Title	Inventors
			Control Crystal Form	Arnold, Stephen

Assignor represents, warrants and covenants that:

(1) Assignor has the full power and authority, and has obtained all third party consents, approvals and/or other authorizations required to enter into this Agreement and to carry out its obligations hereunder, including the assignment of the Patent Rights to Assignee; and

(2) Assignor owns, and by this document assigns to Assignee, all right, title, and interest to the Patent Rights, including, without limitation, all right, title, and interest to sue for infringement of the Patent Rights. Assignor has obtained and properly recorded previously executed assignments for the Patent Rights as necessary to fully perfect its rights and title therein in accordance with governing law and regulations in each respective jurisdiction. The Patent Rights are free and clear of all liens, claims, mortgages, security interests or other encumbrances, and restrictions. There are no actions, suits, investigations, claims or proceedings threatened, pending or in progress relating in any way to the Patent Rights. There are no existing contracts, agreements, options, commitments, proposals, bids, offers, or rights with, to, or in any person to acquire any of the Patent Rights.

Assignor hereby authorizes the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models or other governmental grants or issuances that may be granted upon any of the Patent Rights in the name of Assignee, as the assignee to the entire interest therein.

Assignor will, at the reasonable request of Assignee and without demanding any further consideration therefore, do all things necessary, proper, or advisable, including without limitation, the execution, acknowledgment, and recordation of specific assignments, oaths, declarations, and other documents on a country-by-country basis, to assist Assignee in obtaining, perfecting, sustaining, and/or enforcing the Patent Rights. Such assistance will include providing, and obtaining from the respective inventors, prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, powers of attorney, specifications, declarations or other papers, and other assistance reasonably necessary for filing patent applications, complying with any duty of disclosure, and conducting prosecution, reexamination, reissue, interference or other priority proceedings, opposition proceedings, cancellation proceedings, public use proceedings, infringement or other court actions and the like with respect to the Patent Rights. With prior written approval by Assignee, Assignee will pay Assignor's reasonable costs and expenses.

The terms and conditions of this Assignment of Patent Rights will inure to the benefit of Assignee, its successors, assigns, and other legal representatives and will be binding upon Assignor, its successors, assigns, and other legal representatives.



IN WITNESS WHEREOF this Assignment of Patent Rights is executed at Polytechnic  
University on JUNE 6, 2006.

**ASSIGNOR**

**Polytechnic University**

By: *TC Westcott*  
Name: TC WESTCOTT  
Title: VP, FINANCE + ADMIN.  
(Signature MUST be notarized)

STATE OF NEW YORK )  
 ) ss.  
COUNTY OF KINGS )

On JUNE 6, 2006, before me, DIANNE L. PADRO, Notary Public in  
and for said State, personally appeared TC WESTCOTT, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the  
within instrument and acknowledged to me that he/she executed the same in his/her authorized  
capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of  
which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Dianne L. Padro*

(Seal)

DIANNE L. PADRO  
NOTARY PUBLIC, State of New York  
No. 01PA6018146  
Qualified in Queens County  
Commission Expires Jan. 4, 2007